

12/13/01
J1048 U.S. PTO

12-20-01 A

Practitioner's Docket No. 939_035

PATENT

NEW APPLICATION TRANSMITTAL

Box Patent Application
Assistant Commissioner for Patents
Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on December 13, 2001 under "EXPRESS MAIL." mailing label number EV 002152009 US.

Gina M. Husak

J1002 U.S. PTO
12/13/01



Transmitted herewith for filing is the patent application of:

Inventor 1: Akira MATSUMOTO
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Title: FIBER ARRAY, AND WAVEGUIDE DEVICE

1. Papers enclosed

A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

15 Page(s) of Specification
1 Page(s) of Claims
1 Page(s) of Abstract
4 Sheets of Drawings (Figs. 1A-4B)

B. Other Papers Enclosed

A Patent Application Bibliographic Data

2. Additional Papers to Follow Under Separate Cover

A combined Declaration and Power of Attorney
 A Claim for Priority and a certified copy of:

Japanese Applications 2000-380900 and 2001-346559 filed December 14, 2000 and November 12, 2001, respectively.

An Assignment Transmittal and Assignment of the invention to:

NGK Insulators, Ltd.
2-56, Suda-cho, Mizuho-ku
Nagoya-shi, Aichi-ken 467-8530
JAPAN

3. The correspondence address for this application is: Customer No.: 025191

Telephone: (315) 233-8300
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Electronic Mail: vananl@macklaw.com

4. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

- Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.
- Applicants hereby request that the attached application **not** be published under 35 U.S.C. 122(b).

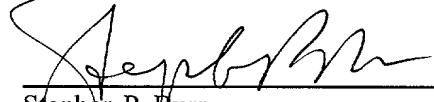
5. The filing fee has been calculated as shown below:

Basic Filing fee (37 CFR §1.16(a))				\$ 740.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	2 - 20 =	0	× \$ 18.00	\$.00
Independent Claims	2 - 3 =	0	× \$ 84.00	\$.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	\$.00
Surcharge fees				
[x] late payment of filing fee (37 C.F.R. 1.16(e)--\$130.00)				\$ 130.00
and/or				
[x] late filing of original declaration or oath (37 C.F.R. 1.16(e)--\$130.00)				\$.00
<i>NOTE. If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e)</i>				
TOTAL OF ABOVE CALCULATIONS =				\$ 870.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$.00
SUBTOTAL =				\$ 870.00
<input type="checkbox"/> Fee for processing an application filed with a specification in a non-English language (37 C.F.R. 1.17(i) and 1.52(d)--\$130.00)				\$.00
<input checked="" type="checkbox"/> Assignment Fee (37 CFR §1.21(h))				\$ 40.00
TOTAL FEES DUE =				\$ 910.00

6. Payment of Fees and Authorization to Charge Additional Fees or Credit Overpayment

- A check in the amount of is enclosed.
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
 - Any additional filing fees required under 37 CFR 1.16.
 - Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



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